

ATTORNEY'S DOCKET NO: 23999

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: 4 October 1999 (4.10.1999)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known) 09/402362
INTERNATIONAL APPLICATION NO.: PCT/AU98/00234	INTERNATIONAL FILING DATE: 7 April 1998 (07.04.1998)	PRIORITY DATE CLAIMED: 7 April 1997 (07.04.1997)
TITLE OF INVENTION: FOOD GRADE WAX AND PROCESS FOR PREPARING SAME		
APPLICANT(S) FOR DO/EO/US: VALIX, Marjorie Gan		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):<ol style="list-style-type: none">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):<ol style="list-style-type: none">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).b. <input type="checkbox"/> have been transmitted by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input checked="" type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <ol style="list-style-type: none">11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment14. <input type="checkbox"/> A substitute specification.15. <input type="checkbox"/> A change of power of attorney and/or address letter.16. <input checked="" type="checkbox"/> TRANSMITTAL FORM; WIPO APPLICATION WO 98/45390 CONSISTING OF 11 PAGES SPECIFICATION, 2 PAGES WITH 17 CLAIMS AND 1 COVER SHEET CONTAINING THE ABSTRACT; PCT REQUEST FORM PCT/RO/101; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; PCT/IPEA/401 DEMAND; PCT/IPEA/408 (SECOND); RESPONSE TO WRITTEN (FIRST); RESPONSE TO FIRST WRITTEN OPINION; PCT/IPEA/408 (SECOND); RESPONSE TO SECOND WRITTEN OPINION WITH 2 AMENDED CLAIM SHEETS FOR PAGES 12 AND 13; PCT/IPEA/409 INTERNATIONAL PRELIMINARY EXAMINATION REPORT WITH ARTICLE 34 AMENDMENTS FOR PAGES 12 AND 13		

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17. <u>xx</u> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO:.....\$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00 International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>
Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
CLAIMS	NO. FILED	NO. EXTRA	RATE
TOTAL	17 -20=	0	X \$ 18.00
INDEPENDENT	2 - 3=	0	X \$ 78.00
Multiple dependent claims(s) (if applicable)			+ \$250.00
TOTAL OF ABOVE CALCULATIONS =		\$	970.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$	0.00
SUBTOTAL =		\$	970.00
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	0.00
TOTAL NATIONAL FEE =		\$	970.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	40.00
TOTAL FEES ENCLOSED =		\$	1010.00
		Amount to be: refunded	\$
		charged	\$

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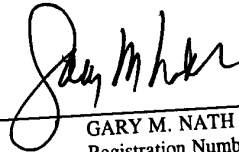
- a. ☒ A check in the amount of \$970.00 to cover the Government Filing Fee, and a check in the amount of \$40.00 for the recordation of the Assignment, is enclosed.
- b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$_____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Rev. 02/98

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

VALIX, Marjorie Gan

International Application No. PCT/AU98/00234

Serial No. NOT YET ASSIGNED

International Filing Date: 7 April 1998 (7.04.98)

Filed: October 4, 1999

For: FOOD GRADE WAX AND PROCESS FOR PREPARING SAME

TRANSMITTAL LETTERAssistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) WIPO Publication No: WO 98/45390
International Publication Date: 15 October 1998 (15.10.98)
which consists of 14 pages including:
 - 11 pages Textual Specification
 - 2 pages of 17 claims
 - 1 Cover Sheet Containing the Abstract
- (4) Executed Declaration
- (5) Assignment with recordation cover sheet
- (6) PCT/RO/101 Request
- (7) PCT/ISA/201 International Search Report
- (8) PCT/IPEA/401 Demand
- (9) PCT/ISA/408 Written Opinion (First)
- (10) Response to Written Opinion
- (11) PCT/ISA/408 Written Opinion (Second)
- (12) Response to Written Opinion with 2 amended claim sheets for page 12 and 13
- (13) PCT/IPEA/409 International Preliminary Examination Report with Article 34 Amendments for pages 12 and 13
- (14) Information Disclosure Statement with 5 references
- (15) PTO Form-1449
- (16) Check No. 11950 \$ 970.00 for Government Filing Fee
- (17) Check No. 11952 \$ 40.00 for the Recordation of the Assignment
- (18) Early Notification Serial Number Postcard

Date: October 4, 1999
NATH & ASSOCIATES
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 Washington, D.C. 20005
 GMN/ph

Respectfully submitted,

NATH & ASSOCIATES

By:

Gary M. Nath
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664007-29520460